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e: 32:INSPEC 1969-2001 (c) 2001 Institut of Electrical Engineers 35:Dissertation Abstracts Online 1861-2001/Feb File (c) 2001 UMI 65:Inside Conferences 1993-2001/Feb W4 ile (c) 2001 BLDSC all rts. reserv. 77:Conference Papers Index 1973-2001/Mar File (c) 2001 Cambridge Sci Abs 99:Wilson Appl. Sci & Tech Abs 1983-2001/Jan File (c) 2001 The HW Wilson Co. File 583:Gale Group Globalbase(TM) 1986-2001/Mar 09 (c) 2001 The Gale Group

DIALOG 3/9/01

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Set
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        Items
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                ANONYMOUS? OR MASK? OR DISGUIS? OR UNKNOWN OR HIDDEN OR UN-
S1
             REVEAL?
        16673
S2
                BUYERS
         3027
                SELLERS
S3
       143698
                BUYING OR PURCHAS?
S4
        39786
                SELLING
S5
                S1 AND (S2 OR S3 OR S4 OR S5)
          915
S6
                 S6 AND (MATCH? OR RELATE OR RELATING OR CORRELAT? OR RELAT-
           71
s7
             ED)
S8
            0
                 FUNGIBLE (3N) PROPERTIES
S9
        36797
                 SUBSCRIBER?
S10
       720823
                 SECURITIES OR CONTRACTS OR STOCK? OR FUND? OR BONDS OR COM-
             MODIT?
S11
       774447
                 CONDITIONAL? OR DEPENDEN? OR CONTINGEN?
                 (S4 OR S5) AND OTHER (3N) PROPERT?
S12
          134
S13
       124131
                 OTHER AND (MARKET? OR TRANSACTION? OR EVENTS)
                 S13 AND S6 AND (EFFECT OR IMPACT OR DICTAT?)
S14
           11
       188460
S15
                 DISPLAY?
        36515
                 SORT?
S16
S17
           27
                 S6 AND S11
S18
          142
                 S6 AND S10
S19
          496
                 S11 AND (S2 OR S3 OR S4 OR S5) AND S10
          467
                 S11 AND (S4 OR S5) AND S10
S20
                 S14 NOT (PY=>1999 OR PD=>990723)
S21
                RD S21 (unique items)
S22
                 S17 NOT (PY=>1999 OR PD=>990723)
S23
                 RD S23 (unique items)
S24
S25
                 S19 AND S15 AND S16
S26
                 (S18 OR S19 OR S20) AND S15 AND S16
                 (S18 OR S19 OR S20) AND (S12 OR S13)
S27
           79
S28
                 S27 NOT (PY=>1999 OR PD=>990723)
S29
            79.
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                 S29 NOT (S22 OR S24 OR S25 OR S26)
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all considered

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File 624:McGraw-Hill Publi
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3/9/01
         (c) 2001 McGraw-E 1 Co. Inc
File 634:San Jose Mercury Jun 1985-2001/Mar 04
         (c) 2001 San Jose Mercury News
File 810: Business Wire 1986-1999/Feb 28
         (c) 1999 Business Wire
File 148: Gale Group Trade & Industry DB 1976-2001/Mar 08
         (c) 2001 The Gale Group
File 275: Gale Group Computer DB(TM) 1983-2001/Mar 08
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S2
                BUYERS
       107287
S3
                SELLERS
S4
      1766956
                BUYING OR PURCHAS?
S5
       740687
                SELLING
S6
         1592
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s7
           24
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S8
                FUNGIBLE (3N) PROPERTIES
       194713
S9
                SUBSCRIBER?
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                SORT?
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          (23)
S17
                RD S7 (unique items)
S18
                S6(S)S11
S19
                RD S18 (unique items)
S20
          165
                S6(S)S10
S21
                $20(S)S13
S22
          922
                S11(5N)(S2 OR S3 OR S4 OR S5)(5N)S10
S23
            0
                S22(S)S15(S)S16
S24
          101
                S22(S) (MATCH? OR RELATE OR RELATING OR CORRELAT? OR RELATE-
             D١
S25
           82
                S24 NOT (PY=>1999 OR PD=>990723)
S26
           76
                RD S25 (unique items)
         1257
S27
                S11(S)(S4 OR S5)(3N)S10
S28
           32
                S27(S)S13
S29
                S28(S) (EFFECT? OR IMPACT? OR DICTAT?)
S30
                S29 NOT (PY=>1999 OR PD=>990723)
S31
                RD S30 (unique items)
S32
                S26(S)OTHER(3N) (PROPERT? OR ASSET? ?)
S33
                RD S32 (unique items)
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GR Jul/1994-2001/Mar 08
(C) 2001: Resp. Dl. vcs.

File: 15:ABI/Inform(R) 1971-2001/Mar 08
(c) 2001 Bell & Howell

File 20:World Reporter 1997-2001/Mar 09
(c) 2001 The Dialog Corporation

File 813: PR Newswire 1987-1999/Apr 30
(c) 1999 PR Newswire Association Inc

File 623: Business Week 1985-2001/Mar W1
(c) 2001 The McGraw-Hill Companies Inc

DIALOG 3/9/01

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 S1
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        351761
              REVEAL?
 S2
        297395
                  BUYERS
 S3
         96862
                  SELLERS
 S4
       1831547
                  BUYING OR PURCHAS?
 S5
        835530.
                  SELLING
 S6
          1691
                  S1(3N)(S2 OR S3 OR S4 OR S5)
 S7
            24
                 S6(3N) (MATCH? OR RELATE OR RELATING OR CORRELAT? OR RELATE-
              D)
S8
            11
                  FUNGIBLE (3N) PROPERTIES
S9
        239797
                  SUBSCRIBER?
S10
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              MODIT?
S11
        394838
                 CONDITIONAL? OR DEPENDEN? OR CONTINGEN?
S12
          1286
                 (S4 OR S5) (3N) OTHER (3N) PROPERT?
S13
                 OTHER (3N) (MARKET? OR TRANSACTION? OR EVENTS)
        270468
S14
                 $13(S)$6(3N)(EFFECT OR IMPACT OR DICTAT?)
             0
S15
        432971
                 DISPLAY?
S16
        354006
                 SORT?
S17
           ~21)
                 RD S7 (unique items)
S18
                 S6(S)S11
S19
                 RD S18 (unique items)
S20
           251
                 S6(S)S10
S21
             1
                 S20(S)S13
S22
           828
                 S11(5N)(S2 OR S3 OR S4 OR S5)(5N)S10
S23
             0
                 S22(S)S15(S)S16
S24
                 S22(S) (MATCH? OR RELATE OR RELATING OR CORRELAT? OR RELATE-
            45
             D)
$25
           28
                 S24 NOT (PY=>1999 OR PD=>990723)
          (26)
S26
                 RD S25 (unique items)
S27
         1613
                 S11(S)(S4 OR S5)(3N)S10
S28
           67
                 $27(S)$13
S29
                 S28(S) (EFFECT? OR IMPACT? OR DICTAT?)
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S30
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                RD S30 (unique items)
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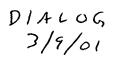
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File 16:Gale Group PROMT(R) 1990-2001/Mar 08
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File 160: Gale Group PROMT(R) 1972-1989
         (c) 1999 The Gale Group
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S1
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             REVEAL?
S2
       235812
                BUYERS
S3
        74883
                SELLERS
S4
      1855983
                BUYING OR PURCHAS?
       692031
S5
                SELLING
S6
         1301
                S1(3N)(S2 OR S3 OR S4 OR S5)
           34
                S6(3N) (MATCH? OR RELATE OR RELATING OR CORRELAT? OR RELATE-
S7
             D)
           17
S8
                FUNGIBLE (3N) PROPERTIES
       308449
s9
                SUBSCRIBER?
S10
      4207969
                SECURITIES OR CONTRACTS OR STOCK? OR FUND? OR BONDS OR COM-
             MODIT?
S11
       309718
                CONDITIONAL? OR DEPENDEN? OR CONTINGEN?
S12
          898
                (S4 OR S5) (3N) OTHER (3N) PROPERT?
S13
       255824
                OTHER (3N) (MARKET? OR TRANSACTION? OR EVENTS)
S14
            O
                S13(S)S6(3N) (EFFECT OR IMPACT OR DICTAT?)
S15
       486036
                DISPLAY?
       148611
S16
                SORT?
           A)
S17
                S6(S)S11
S18
                S6(S)S10
S19.
                RD S8 (unique items)
S20
                RD S7 (unique items)
                S20 NOT (PY=>1999 OR PD=>990723)
S21
S22
                S18(S)S13
          703
$23
                S11 (5N) (S2 OR S3 OR S4 OR S5) (5N) S10
S24
            0
                S23(S)S15(S)S16
           25
S25
                S23(S) (MATCH? OR RELATE OR RELATING OR CORRELAT? OR RELATE-
             D)
           17.
S26
                S25 NOT (PY=>1999 OR PD=>990723)
           (11)
S27
                RD S26 (unique items)
         1378
S28
                S11(S)(S4 OR S5)(3N)S10
S29
           49
                S28(S)S13
S30
                S29(S) (EFFECT? OR IMPACT? OR DICTAT?)
S31
                S30 NOT (PY=>1999 OR PD=>990723)
           (3) RD S31 (unique items)
S32
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.Annou.(R) 1985-2001/Mar 08

File 621:Gale Group New P

all Considered

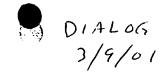


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-	RE	VEAL?
S2	202	BUYERS
S3	137	SELLERS
S4	11467	BUYING OR PURCHAS?
S5	5032	SELLING
S 6	122	S1 AND (S2 OR S3 OR S4 OR S5)
s7	15	S6 AND (MATCH? OR RELATE OR RELATING OR CORRELAT? OR RELAT-
	ED)
S8	0	FUNGIBLE (3N) PROPERTIES
s9	45173	SUBSCRIBER?
S10	159550	SECURITIES OR CONTRACTS OR STOCK? OR FUND? OR BONDS OR COM-
	MO	DIT?
S11	104816	CONDITIONAL? OR DEPENDEN? OR CONTINGEN?
S12	(4)	(S4 OR S5) AND OTHER (3N) PROPERT?
S13	7185	OTHER AND (MARKET? OR TRANSACTION? OR EVENTS)
S14	0	S13 AND S6 AND (EFFECT OR IMPACT OR DICTAT?)
S15	751885	DISPLAY?
S16	54672	SORT?
S17	<u>(4)</u>	S6 AND S11
S18	(11)	S6 AND S10
S19	9	S11 AND (S2 OR S3 OR S4 OR S5) AND S10
S20	9	S11 AND (S4 OR S5) AND S10
S21	0	(S17 OR S18 OR S19 OR S20) AND S15 AND S16
S22		(S18 OR S19 OR S20) AND (S12 OR S13)
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S24	0	S19 NOT S20
S2 5	0.	S20 NOT S19

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File 349:PCT Fulltext 198 001/UB=20010301, UT=20010215

File 348: EUROPEAN PATENTS 1978-2001/Feb W04 (c) 2001 European Patent Office

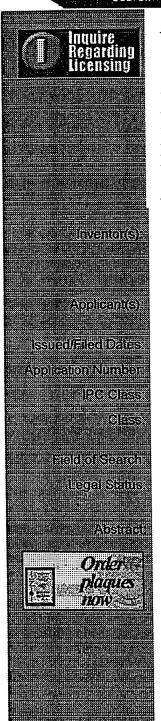


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S2	962	BUYERS
S3	969	SELLERS
S4	40272	BUYING OR PURCHAS?
S 5	2995	SELLING
S 6	112	S1(3N)(S2 OR S3 OR S4 OR S5)
s 7	7	S6(3N) (MATCH? OR RELATE OR RELATING OR CORRELAT? OR RELATE-
	D)	
S8	(8)	FUNGIBLE (3N) PROPERTIES
S9	17797	SUBSCRIBER?
S10	212830	SECURITIES OR CONTRACTS OR STOCK? OR FUND? OR BONDS OR COM-
	MO	DIT?
S11	207447	CONDITIONAL? OR DEPENDEN? OR CONTINGEN?
S12	14	(S4 OR S5) (3N) OTHER (3N) PROPERT?
S13	7899	OTHER (3N) (MARKET? OR TRANSACTION? OR EVENTS)
S14	0	S13(S)S6(3N) (EFFECT OR IMPACT OR DICTAT?)
S15	174017	DISPLAY?
S16	266882	SORT?
S17	(3)	S6(S)S11
S18		S6(S)S10
S 19	(9)	S11(5N)(S2 OR S3 OR S4 OR S5)(5N)S10
S 20	22	S11(S)(S4 OR S5)(3N)S10
S21	17	S20 NOT (S19 OR S18 OR S17)
S22		S21(S) (S12 OR S13)
S23	\mathcal{A}	S21(S) (EFFECT OR IMPACT OR DICTAT?)
S24	(16)	S21 NOT S22

All considered



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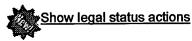
April 6, 1971 / Feb. 24, 1969

US1969000801455

G06F 15/24;

Current: <u>705/037;</u> <u>340/825.27;</u> Original: <u>340/172.5;</u> <u>340/154;</u>

340/154 235/157 340/172.5



This disclosure is directed to an apparatus and method of automatically, anonymously and equitably buying and selling fungible properties between subscribers. The specific embodiment described in the disclosure relates to the buying and selling of securities wherein a communication system pursuant to this invention is described which permits institutional investors to communicate anonymously with each other for the purpose of arranging block trades of listed and over-the-counter securities. Said system comprises a centralized data storage unit, a digital computer, a plurality of subscriber terminals and a plurality of communication links established therebetween. The method of the system comprises the steps of (1) booking unfilled buy offers including associated price and quantity parameters on a buy offer list in a priority sequence according to a first predetermined program, (2)

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              (Item 1 from f
                                 348)
11/3 K/1
DIALOG(R) File 348: European Pa Ats
(c) 2000 European Patent Office. All rts. reserv.
   risque.
PATENT ASSIGNEE:
INVENTOR:
    11776, (US)
LEGAL REPRESENTATIVE:
    53-64 Chancery Lane, London WC2A 1SD, (GB)
                               EP 411748 A3 911121
```

System for matching of buyers and sellers with risk minimization. System zur Verbindung von Kaufer und Verkaufer mit Risikominimierung. Systeme pour lier un acheteur avec un vendeur avec une minimisation de

REUTERS LIMITED, (1237190), 85 Fleet Street, London WC4P 4HA, (GB), (applicant designated states: CH; DE; FR; GB; LI)

Scholldorf, Alfred H., 354 Broadway, Port Jefferson Station, New York

Cline, Roger Ledlie et al (29375), EDWARD EVANS & CO. Chancery House

PATENT (CC, No, Kind, Date): EP 411748 A2 910206 (Basic)

EP 90305763 900525; APPLICATION (CC, No, Date):

PRIORITY (CC, No, Date): US 360412 890602 DESIGNATED STATES: CH; DE; FR; GB; LI INTERNATIONAL PATENT CLASS: G06F-015/24;

ABSTRACT WORD COUNT: 263

LANGUAGE (Publication, Procedural, Application): English; English FULLTEXT AVAILABILITY:

Word Count Available Text Language Update 834 CLAIMS A (English) EPABF1 19829 SPEC A (English) EPABF1 20663 Total word count - document A Total word count - document B n Total word count - documents A + B 20663

...SPECIFICATION A- 4,412,287, which discloses as an automated stock exchange in which a computer matches buy and sell orders for a variety of stocks; US-A- 3,573,747, which discloses an anonymous trading system for selling fungible properties between subscribers to the system; US-A- 3,581,072...

(Item 2 from file: 348) 11/3, K/2DIALOG(R) File 348: European Patents

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Automated system for providing liquidity to securities markets. Automatisiertes System zur Beschaffung von Liquiditat an Wertpapierborsen Systeme automatise pour fournir de la liquidite aux marches de valeurs. PATENT ASSIGNEE:

MJT HOLDINGS, INC., (1237560), Suite 500, 800 West 6th Street, Los Angeles, California 90017, (US), (applicant designated states: CH; DE; FR; GB; IT; LI; LU; NL; SE)

INVENTOR:

Lupien, William A., 4089 Chevy Chase Drive La Canada, Flintridge, California 91011, (US)

McCormack, John P., 250 Essex Street, West Boxford, Massachusetts 01885,

Schulman, H. Evan C., 3 Exeter Street, Boston, Massachusetts 02116, (US) LEGAL REPRESENTATIVE:

Haffner, Thomas M., Dr. et al (49101), Patentanwaltskanzlei Dipl.-Ing. Adolf Kretschmer Dr. Thomas M. Haffner Schottengasse 3a, A-1014 Wien, (AT)

EP 401203 A2 901205 (Basic) PATENT (CC, No, Kind, Date):

EP 401203 A3 921202

APPLICATION (CC, No, Date): EP 90890169 900530;

PRIORITY (CC, No, Date): US 358873 890531